



Your Personal Money Manager

50 Years of Investment Experience – Over 30 Years in the Tampa Bay area

IMPORTANT ONLINE MULTIPLE ACCOUNT ACCESS CHANGES

New Account Linking Requirements for AdvisorClient.com

On Friday evening November 4th, TD Waterhouse launched a newly redesigned AdvisorClient.com site. The updated site incorporates new features such as streamlined site navigation, the ability to select electronic or paper statements, confirmations or tax documents, and the addition of trading functionality. In the new AdvisorClient.com, the advisor can choose to enable or disable each client's ability to trade.

Part of the re-design involved a change in our account linking requirements. In accordance with current compliance recommendations we will perform a review of account holders' User IDs. This review will allow us to determine if current account linkages meet compliance standards. Please see the new account linking requirements below. **New Account Linking Requirements**

- **Only the account holder, an interested party, or a Limited Power of Attorney (LPOA) holder can view an account.**
- Only the account holder or a LPOA holder can place trades in the account.
- Only the account holder can change the account documents delivery preference-either electronically via e-mail notification or through traditional U.S. mail.
- Individuals requesting access to another individual's account will need to submit either a letter requesting that an interested party be added, a **Duplicate Account Information Request Form**, or a LPOA form - depending on the desired level of access.

Please mail or fax to:

TD Waterhouse Institutional Services
4075 Sorrento Valley Blvd., Suite A
San Diego, CA 92121
Fax: 858-678-2855

To make the transition as seamless as possible and prevent any inconvenience for you and your clients, TD Waterhouse will:

1. Contact Advisors that have clients impacted by this change.
2. Post a message to Advisorclient.com to inform clients of the change.
3. Post a pop-up message regarding the change, to affected clients, once the new site is launched. The message will instruct clients to contact TD Waterhouse for assistance.

*For more information about the Advisorclient.com redesign, or the new account linking requirements, please contact TD Waterhouse directly:
Technology Services at 1-800-431-3500, option 3.*

You can also contact Pearson Capital, Inc., by phone: 800-510-0329 or 813-641-7575 (locally) or by Email: Pearsoncapital@aol.com.